

OP Jindal University, Raigarh (C.G.)

Revised Ph.D. Regulation

OPJU/SOP/2023/

Date: 04/09/2023

Revision of Existing Ph.D. Regulation of OP Jindal University, Raigarh as per the “UGC (Minimum Standards and Procedures for the Award of Ph.D. degree) Regulations, 2022” Dated 07.11.2022 and to incorporate important recommendations of NEP 2020 and its implementation for January 2023 onwards batch.

The following revisions have been done in the existing Ph.D. Regulation of OPJU and agreed to implement.

1. In the Point No. 2 (Eligibility for Registration), Sub-Point ‘c’ and ‘d’ have been revised.
2. In the Point No. 3 (Procedure for Admission & Registration), Sub-Point ‘e’ has been revised.
3. In the Point No. 5 (Course work) has been revised with its Sub-Points ‘a’ (Partially), ‘b’ (Fully).
4. In the Point No. 8 (Supervisors/Co-supervisors), Sub-Point ‘a’(1) & ‘b’ (1) (Partially), (2) (Fully) have been changed and (5) (6) & (7) added in the regulation.
5. In the Point No. 9 (Tenure of the Ph.D. course), Sub-Point (a) (Partially) have been revised.
6. In the Point No. 16 (Role of the Ph.D. Thesis Examiner(s)), Sub-Point ‘g’ has been added.
7. Point No. 17 has been partially revised.
8. The award of degrees to candidates already registered before January 2023 and pursuing Ph.D. shall be governed by UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2016

Revised Point Details

2. Eligibility for Registration

(c) Candidates who have completed:

1. A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

2. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

(d) Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority,

established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

3. Procedure for Admission & Registration

- (e) Eligibility and procedure for admission to the Ph.D. program shall comply with the provision of UGC regulations on Minimum Standards and Procedures for the award of Ph.D. Degree, Regulation 2022 and its subsequent amendments.

5. Course work

The residential requirement for the Ph.D. work is to complete the six-month course work (a minimum of 12 credits) as a full time scholar, thereafter, the candidate shall continue the research work at the “Approved Research Centre” by the university.

- (a) All eligible candidates shall be required to undertake course work for a minimum period of one semester. The course work must include “Research and Publication Ethics” and “Research Methodology” as a compulsory subject. The course content shall be designed by the DRC of the concerned Department/School. The course work may also involve review of published research in the relevant field. The evaluation scheme and the assessment method of the course work shall be approved by the DRC. The composition of the course work (number of Theory, Lab seminar etc.) may vary from candidate to candidate depending on the prerequisite to start and carry out the Ph.D. work. However, the DRC shall take the lead to finalize the matter.
- (b)1. On the recommendation of the supervisor/DRC, a part of the course work may be carried out by the candidates using UGC-recognized online courses as a part of the credit requirements for the PhD programme.

2. All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching /education/pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. Scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conquering tutorial or laboratory work and evaluations.

8. Supervisors/Co-supervisors

a) Supervisor and Co supervisor (Internal)

The person recommended as Supervisor/Co-supervisor to guide the Research Scholar must be:

1. A regular Professor/Associate Professor in a school of the university with at least five research publications and an Assistant Professor with at least three research publications in refereed SCI/Scopus/ABDC/SJR/ABS Research Journals with a Ph.D. degree in the same or relevant subject from a recognized university may be recognized as Research Supervisor.

b) CO- Supervisor (External)

The university permits an employee outside the university to supervise Ph.D. As Co-supervisor, however, he/she has to apply for the same (as per annexure- 4).

1. A Scientist/Director/Scholar, not below the rank of a university Assistant Professor, working in a research Institute/ Organization/Establishment/ Laboratory/Library & Physical Education Professionals working in Library /Physical Education Department, recognized by the university as a research centre, who has obtained a Doctorate Degree in the subject and published 5 research papers in concerned subject in refereed SCI/Scopus/ABDC/SJR/ABS Research Journals and has five years of experience in the respective field.
2. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

5. An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8)/ six (6)/four (4) Ph.D. scholars, respectively, at any given time.
6. In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.
7. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

9. Tenure of the Ph.D. course

- (a) The candidate shall pursue his/her research at the approved place of research i.e. "Approved Research Centre" under the Supervisor/Co-supervisors on the approved subject. The candidate shall be permitted to submit his/her thesis not earlier than three years (including course work) and not later than six years from the date of registration.

A maximum of an additional two (2) years can be given through a process of re-registration; provided, however, that the total period for completion of a Ph.D. programme will not exceed eight (8) years from the date of admission in the Ph.D. programme.

16. Role of the Ph.D. Thesis Examiner(s):

- (g) The entire process of evaluating a Ph. D. thesis, including the declaration of the viva-voce result shall complete within a period of six (6) months from the date of submission of the thesis.

17. Board of the examiners for final Defence/Viva Voce of Ph.D.

The university shall constitute a committee to conduct oral (Viva voce/defence) exam for award of the Ph.D.. It will consist of the following individuals

Chairman: Vice chancellor or his/her nominee

External Expert: One of the two examiners selected by the Vice Chancellor who have accepted the thesis for the award of the Ph.D. Degree.

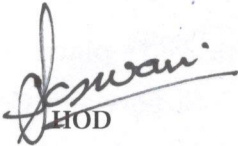
Member: Department Head/Chairman BoS of the concern department

Member: Dean of the School

Member: Two senior faculties (Professor/ Associate Professor) of The School/Department

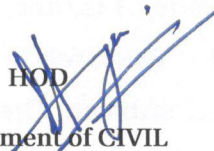
Member: Supervisor/Co supervisor of the candidate.

For conducting the meeting minimum five members shall be required including one external expert and may be conducted online.



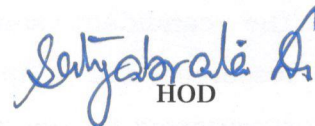
HOD

School of Management



HOD

Department of CIVIL



HOD

Department of MME



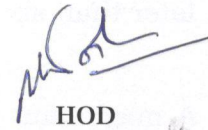
HOD

Department of Mechanical



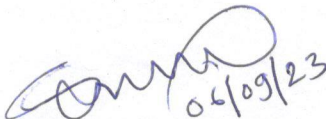
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Department of EE



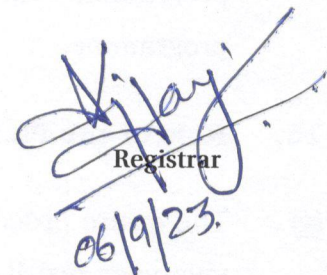
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Department of CSE

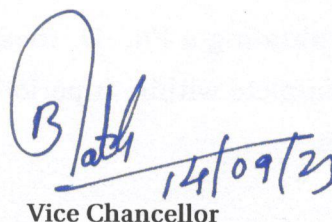


06/09/23

Controller of Examination



Registrar
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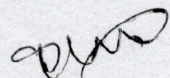


14/09/23
Vice Chancellor

Ph.D. Regulations

(Revised as per the UGC Regulations, 2022)

OP Jindal University



OP Jindal University Punjipathra, Raigarh (C.G.)

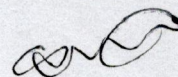
Doctor of Philosophy

1. General Instructions

- (a) The degree of Doctor of Philosophy (Ph.D.) may be granted in any discipline belonging to any faculty of the OP Jindal University, Raigarh (hereafter: University), in which research supervisor is available at the university
- (b) No Ph.D. scholar shall join any other course or appear in any other examination leading to a degree/diploma during the course of his/her Ph.D. programme.

2. Eligibility for Registration

- (a) A candidate shall ordinarily be permitted to work for Ph.D. degree in the subject in which he/she has obtained his/her master's degree.
- (b) Provided that research work leading to Ph.D. degree may be allowed in allied subject of inter-disciplinary nature of the same faculty or of allied faculties. In such cases, candidates may be permitted to work under one or two co-supervisors along with the supervisor.
- (c) Candidates who have completed:
 - i. A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.
 - ii. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from

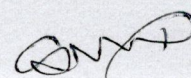
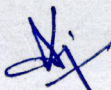


time to time. Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/ Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

- (d) Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

3. Procedure for Admission & Registration

- (a) Candidates shall be generally admitted through Doctoral Entrance Test, abbreviated as DET, followed by a discussion/interview. Date and place for the same shall be notified by the university.
- (b) The modalities of the test shall be decided by the university.
- (c) In response to the advertisement or notification of the university, candidates desirous of seeking admission to Ph.D. programme shall be required to submit applications form (as per annexure-1) to the university along with prescribed fee. The duly filled in application forms along with supportive documents and the entrance test fee should be submitted /sent to the office of the Registrar within the given period.
- (d) Candidates shall be admitted through the Doctoral Entrance Test (DET), which shall be conducted for each subject/branch separately at the University.



- (e) Eligibility and procedure for admission to the Ph.D. program shall comply with the provision of UGC regulations on Minimum Standards and Procedures for the award of Ph.D. Degree, Regulation 2022 and its subsequent amendments.

4. Departmental Research Committee (DRC)

The Vice Chancellor of the university shall constitute a Departmental Research Committee (DRC) to propose guideline and monitor the conduction of Doctoral Entrance Test (DET) as per the ordinance no 5, page no 598(158).

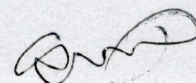
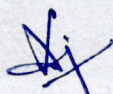
- (a) All the departmental academic matters related to Ph.D. degree shall be processed by the DRC. This committee will comprise of the following members: Chairman: HOD of the concern department/School,

Members: Three members from the department who are approved supervisor of the university. In case of lesser number of available members from the department, the Vice Chancellor of the university shall nominate members from other departments.

Members: Two outside experts nominated by the Vice Chancellor proposed by the HOD/Dean concern.

For conducting the meeting of the DRC, minimum four members shall be required including one external expert.

- (b) The functions of DRC shall be as follows:
- i. To scrutinize the applications
 - ii. To arrange and support for entrance test/interview
 - iii. To submit the final selection list of the candidates, and
 - iv. Any related work assigned by the Vice Chancellor.
- (c) Subject to availability of seats, candidates belonging to the following categories and possessing a Masters Degree shall be exempted from appearing at the entrance test and they may be admitted directly to the programme on the recommendation of the DRC:-

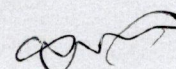
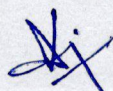


- i. Candidates, who qualify UGC-CSIR (JRF) examination, including NET lectureship/ SLET/ GATE or any other JRF examination conducted by national agencies, such as ICMR, ICSSR etc.
 - ii. Candidates possessing M. Phil degrees from recognized university, provided that their admission to the M Phil was through the entrance test.
 - iii. Scientist of any recognized National (e.g. DST-CSIR-funded etc.) and International Institutions (for example; WHO - funded etc.) having acquired two years' full time experience.
 - iv. An eligible candidate shall apply for registration for Ph.D. degree of his/her subject on the prescribed form obtainable on payment of prescribed fee. The application shall be considered by the DRC of the concerned school/ department.
- (d) The allocation of the supervisor for a selected student shall be decided by the DRC at the time of admission in a formal manner depending on the number of student per faculty member, the available specialization among the faculty supervisors and the research interest of the student as indicated during the interview. The allotment /allocation of supervisors shall not be left to the individual student or supervisor.
- (e) Review of six-month progress report of a Ph.D. Scholar.

5. **Course work**

The residential requirement for the Ph.D. work is to complete the six-month course work (a minimum of 12 credits) as a full time scholar, thereafter, the candidate shall continue the research work at the "Approved Research Centre" by the university.

- (a) All eligible candidates shall be required to undertake course work for a minimum period of one semester. The course work must include "Research and Publication Ethics" and "Research Methodology" as a compulsory subject. The course content shall be designed by the DRC of the concerned Department/School. The course work may also involve review of published research in the relevant field. The evaluation scheme and the assessment method of the course work shall be approved by the DRC. The composition of the course work (number of Theory, Lab seminar etc.) may vary from candidate to candidate depending on the prerequisite to start and carry out the Ph.D. work. However, the DRC shall take the lead to finalize the matter.

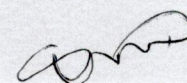
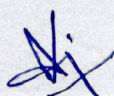


- (b)
- i. On the recommendation of the supervisor/DRC, a part of the course work may be carried out by the candidates using UGC-recognized online courses as a part of the credit requirements for the PhD programme.
 - ii. All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching /education/pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. Scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conquering tutorial or laboratory work and evaluations.
- (c) The candidates shall be evaluated at the end of the coursework semester. The candidate shall be declared to have successfully completed the course if he/she obtains a minimum of 55% marks in aggregate as well as a minimum of 50% marks individually in all the components of the coursework. Examiners recommended by the DRC will conduct the evaluation at the institutional/departmental level.
- (d) If a candidate fails to obtain the minimum passing marks he/she should be given one more chance however, he/she has to pay the examination fee as decided by the university.
- (e) If he/she further fails to obtain the minimum passing marks, he/she shall not continue the course.
- (f) The candidate shall have to pay fees as decided by the university from time to time.

6. Research Degree Committee (RDC)

The Vice Chancellor of the university shall constitute a Research Degree Committee (RDC). The role of the RDC is to ensure standard of the research work leading to the award of the degree of Ph.D., as per the ordinance no 5, page no 598(158).

- (a) The candidate shall be eligible to submit synopsis **(as per annexure- 2)** of his/her proposed research work, after successful completion of the Ph.D. course work, along with the title of the thesis, (in six copies) duly forwarded by the Chairman of the relevant RDC. He /She shall be required to make an oral presentation of the proposed work before the Research Degree Committee consisting of the following members:



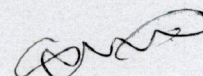
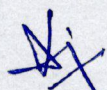
- Chairman: Vice chancellor or his/her nominee
Member: Dean of the School
Member: Chairman Board of studies of the subject
Member: Three faculty members from the department/School as member
Member: Two outside Experts.

Four members with minimum one external expert shall form the quorum.

Note:-

- (a) No T.A. and D.A. shall be payable to the candidate and the supervisor/co-supervisor for attending the RDC meeting.
- (b) The committee shall recommend the suitability of the topic of research/Supervisor/Co-Supervisor and the registration of the candidate for the Ph.D. Degree. The candidate shall be registered and enrolled as a student from the date on which the candidate deposits the registration fee after being selected in the Doctoral Entrance Test (DET).
- (c) He/She will also be required to pay regular tuition, library and laboratory fees (six monthly) during his research tenure as per the university guideline.
- (d) If the RDC approves the topic and suggests a minor change, the candidate shall be allowed to submit the revised synopsis (in six copies) through the Chairman. No separate RDC meeting shall be held for the revised proposal.
- (e) If the RDC does not approve/recommend a candidate for registration to Ph.D.degree, the candidate shall be allowed to make oral presentation in the second RDC. Provided that, if he/she fails to be present or satisfy the RDC for second time, his/her case will be rejected/cancelled. In such cases, the refundable fees (caution money) deposited by the candidate shall be refunded.
- (f) In case of any dispute in the RDC regarding allied subjects (as per the approval of the Academic Council) of the interdisciplinary nature, the Vice Chancellor may constitute a committee in this regard and the report of the committee shall be placed before the Academic Council/Standing Committee for final decision.
- (g) The meeting of the RDC shall be held in the university office twice a year preferably in a gap of six months.
- (h) After clearing the RDC, the candidate may be allowed to carry out the research work at the department/school of the University/Fringe Research Centre here after referred as "Approved Research Centre".

As per the section 28(1) of Chhattisgarh Private Universities (Establishment and Operation) Act, 2005



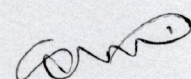
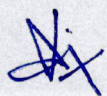
7. Perusal of Research work at Approved Research Centre

A candidate shall pursue his/her research at the Institution from where his/her application of registration has been forwarded. In some case the candidate can pursue his/her research work at Research Centre located outside the university premises (Fringe Research Centre) approved by the university as "Approved Research Center".

- (a) Any department of the university having minimum one approved supervisor shall be the "Approved Research Centre" to carry out the Ph.D. work.
- (b) The university may recognize any organization/Institution outside the premises (Fringe Research Centre) as an "Approved Research Centre" to carry out the Ph.D. work. The institution willing to get associated with the university as an "Approved Research Centre", shall apply to the university **(as per annexure-3)** to get the required status.
- (c) The institution should have at least one recognized supervisor by the university and the infrastructure/laboratory of the concerned subject/area.
- (d) Provided that the candidate shall be required to take at least one co-supervisor from the fringe research centre along with one supervisor from the university.
- (e) Provided also that the candidate may be permitted to carry out his/her full or part practical/research work in a fringe research centre, under the approved co supervisor from the same fringe research centre. The progress report with technical contribution as well as the attendance shall be certified by the co supervisor at the fringe research centre.

8. Supervisors/Co-supervisors

A person who wants to get himself/herself recognized as a Supervisor/Co-supervisor shall apply in the prescribed form **(as per annexure-4)** duly forwarded by the Head to the Dean of the school. All application will be forwarded to the Registrar of the university. University shall constitute a committee to review the credentials of the applicant and submit the recommendation to the University for Final Approval as a Ph.D. supervisor.



a) Supervisor and Co supervisor (Internal)

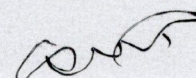
The person recommended as Supervisor/Co-supervisor to guide the Research Scholar must be:

1. A regular Professor/Associate Professor in a school of the university with at least five research publications and an Assistant Professor with at least three research publications in refereed SCI/Scopus/ABDC/SJR/ABS Research Journals with a Ph.D. degree in the same or relevant subject from a recognized university may be recognized as Research Supervisor.
2. The External Supervisors are not allowed. However, a Co-supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the Research Doctorate Committee.

b) CO- Supervisor (External)

The university permits an employee outside the university to supervise Ph.D. as Co-supervisor, however, he/she has to apply for the same (as per annexure-4).

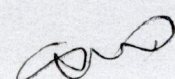
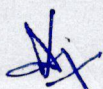
1. A Scientist/Director/Scholar, not below the rank of a university Assistant Professor, working in a research Institute/ Organization/Establishment/ Laboratory/Library & Physical Education Professionals working in Library /Physical Education Department, recognized by the university as a research centre, who has obtained a Doctorate Degree in the subject and published 5 research papers in concerned subject in refereed SCI/Scopus/ABDC/SJR/ ABS Research Journals and has five years of experience in the respective field.
2. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.
3. Provided also a recognized Supervisor/Co-supervisor under the repealed ordinance shall continue to be recognized as Supervisor/Co-supervisor.
4. Provided further that a candidate who himself/herself is registered for Ph.D. degree of the university in the subject shall not be eligible to act as Supervisor/Co-supervisor in the concerned subject or member of the RDC related to the concerned subject mentioned in the ordinance.



5. An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8)/ six (6)/four (4) Ph.D. scholars, respectively, at any given time.
6. In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.
7. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

9. Tenure of the Ph.D. course

- (a) The candidate shall pursue his/her research at the approved place of research i.e. "Approved Research Centre" under the Supervisor/Co-supervisors on the approved subject. The candidate shall be permitted to submit his/her thesis not earlier than three years (including course work) and not later than six years from the date of registration. A maximum of an additional two (2) years can be given through a process of re-registration; provided, however, that the total period for completion of a Ph.D. programme will not exceed eight (8) years from the date of admission in the Ph.D. programme.
- (b) Notwithstanding 9(a) the candidate will apply for the extension of six month/one year to the Vice Chancellor through the respective Supervisor, HoD of Department, and the Dean of the School. If candidates fail to submit the thesis within granted extension, the registration of candidates shall expire suo moto.
- (c) The persons with more than 40% disability shall be allowed a relaxation of two years for Ph.D. in the maximum duration. In addition, the women candidates shall be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days, which will be over and above the maximum period of six years.



- (d) The candidate shall put in at least 200 days' attendance, during the tenure of three years of Ph.D. in the institution concerned or with the supervisor. The attendance record of the candidates is to be sent by the department through the Dean office to the registrar office every year before registration for the semester.

10. Change of Supervisor:

Under Special circumstances the candidate may be allowed to change the Supervisor permitted by the Vice Chancellor, on the recommendation of the Research Degree committee (RDC). No major change in the topic of the research will be permitted due to change of the supervisor.

11. Number of Candidates under one Supervisor:

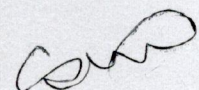
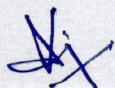
A Research Supervisor/Co-Supervisor who is a Professor, at any given point of time, cannot guide more than Eight (8) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of six (6) Ph.D. scholars and Assistant Professor as Research Supervisor can guide up to a maximum of four (4) Ph.D. scholars.

12. Six monthly progress Report:

The candidate shall submit in every six months a record of attendance i.e. number of contact days with the supervisor/co supervisor, receipt of the fees paid and progress report of the work through his/her supervisor (**as per annexure- 5**). The candidate shall present his/her work in front of DRC, if the progress of work is not found satisfactory in two successive reports or no reports are received for a period of one year and the candidate fails to deposit the prescribed fees, the Vice Chancellor may order the removal of the name of the scholar from the list of those registered for the Ph.D. degree, on the recommendation of Dean of School.

13. Submission of Summary of Thesis:

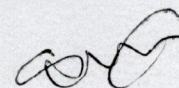
- (a) Prior to submission of the thesis, the candidate shall make a pre-Ph.D. presentation before the RDC that may be opened to all faculty members and students of the university, for getting feedback and comments, which may be suitably incorporated into the draft thesis as per the advice of the supervisor. The RDC shall prepare a report (**as per Annexure-6**) and the same would be communicated to the candidate through the supervisor(s).



- (b) The candidate shall submit the summary of the thesis only after publication of minimum 02 research papers in standard Refereed SCI/Scopus/ABDC/SJR/ABS Journals and make two paper presentations in conferences/seminars before the submission of the dissertation/thesis for adjudication, such evidence (reprints of publications or acceptance letter for publication) has to be appended at the end of the thesis, and also a copy of the pre submission of Ph.D. thesis report.
- (c) The candidate shall submit six copies of the summary of the thesis together with a list of all research paper published or accepted for publication in a standard Refereed SCI/Scopus/ABDC/SJR/ABS Journal having through his/her Supervisor to the Registrar about two months prior to the anticipated date of submission of thesis.

14. Appointment of Ph.D. Thesis Examiner(s)

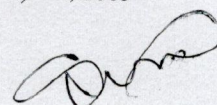
- (a) The supervisor shall submit the name of the examiners to the university. The proposed names should not be the relative of the supervisor. The term relative means, Father, Mother, Wife, Husband, Daughter, Son, Grandson, Granddaughter, Brother, Sister, Nephew, Niece, Grand Nephew/Niece, Uncle, Aunt, Son-in-law, Sister-in-law, Father-in-law, First cousin-in-law etc.
- (b) The supervisor shall submit a two panel of at least five names of examiners in each. The first panel shall comprise names of the reputed foreign University/Institutions Professors / Associate Professors and the second panel shall consist of the names of Indian University/Institutions (preferably IITs, NITs, IIMs and other Institute of National repute) Professors/Associate Professors actively engaged in the concerned area of research.
- (c) On the receipt of the panel of examiners from the supervisor and summary from the candidate, the Registrar of the university will prepare a panel of six names to act as an examiner.
- (d) The Vice Chancellor of the university shall appoint out of the panel forwarded by the registrar of the university, two external examiners (out of which at least one shall be from outside the State) and supervisor as an internal examiner. The consent of the examiners shall be obtained before sending them the summary and list of publications by the Ph.D. scholar.



15. Submission of Thesis

The candidate shall submit six copies (Four copies with soft cover and two copies with hard cover) of his/her thesis along with the following to the Office of the Registrar.

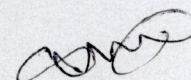
- (a) Ph.D. scholars must publish at least two (2) research paper in refereed SCI/SCOPUS/ABDC/SJR/ABS Journal and make two paper presentations in conferences/seminars before the submission of the thesis for adjudication, such evidence (reprints of publications or acceptance letter for publication) has to be appended at the end of the thesis, and also a copy of the pre submission of Ph.D. thesis report.
- (b) The thesis must be accompanied by a declaration from the candidate that the thesis embodies his/her own work and he/she has worked under the Supervisor at the approved place of work for the required period **as per the annexure-7**.
- (c) The certificate from the Supervisor together with Co-supervisors, if any, that the thesis fulfils the requirements of the ordinance relating to the Ph.D. degree of the University **as per annexure-8**.
- (d) The candidate shall also remit with the thesis the prescribed examination fee.
- (e) The supervisor along with the Ph.D. candidate shall ensure plagiarism check using professional software tool. It is mandatory to attach the plagiarism certificate with the Ph.D. thesis. The permissible plagiarism (may be in terms of the percentage, number of words etc.) shall be notified by the university officials.
- (f) Submission of Electronic copy of the Thesis is mandatory at the time of submission of the Ph.D. thesis. The University shall submit a soft copy to the UGC within a period of 30 days after the award of the degree, for hosting the same in INFLIBNET, accessible to all Institutions/University.
- (g) On receipt of the thesis along with the certificate and required fee; the thesis shall be sent to the two examiners who have already consented as per ordinance.
- (h) The thesis to be accepted for the award of the Ph.D. must satisfy that it is a piece of research work characterized either by discovery of new facts or by fresh interpretation of fact or theories. In either case it should evince the candidate's capacity for critical examination, original thinking and judgment. It shall also be satisfactory so far as its literary presentation is concerned.



16. Role of the Ph.D. Thesis Examiner(s):

The examiners shall categorically recommend in the prescribed Proforma **as per the annexure-9**, acceptance, revision or rejection of the thesis together with the detailed comments. The examiner must also give a list of the questions he/she wishes to ask at the viva- voce/defense examination.

- (a) The examiners (if required) may seek clarification of the subject matter of the thesis from the Supervisor via communicating to the university officials.
- (b) The Vice Chancellor can recall the thesis from an examiner who fails to send the report within three months of the date of dispatch of the thesis and appoint another examiner.
- (c) In case both the examiners reject the thesis or one of them rejects the thesis and the other recommends a revision the thesis shall be rejected.
- (d) If both the examiners recommend for the revision of the thesis the candidate shall be called upon to revise the thesis in the light of the observations of the examiners/supervisor.
- (e) If one examiner approves the thesis and the other rejects it or recommends for revision the thesis shall be sent to the third examiner drawn from the panel of the examiners by the Vice Chancellor with both the reports of earlier examiners. The names of earlier two examiners shall not be disclosed to the third examiner. The third examiner shall be asked to give his/her opinion on the points, raised by the earlier examiners while rejecting or recommending revision of the thesis. The opinion of the third examiner shall be final.
- (f) In case both the original examiners accept the thesis for the award of the Ph.D. Degree or in the event of it being referred to the third examiner, the third examiner accepts the thesis for the award of the Ph.D. degree, the candidate shall be called, upon to appear at the viva-voce/defence examination before a board of examiners.
- (g) The entire process of evaluating a Ph. D. thesis, including the declaration of the viva-voce result shall complete within a period of six (6) months from the date of submission of the thesis.



17. Board of the examiners for final Defence/Viva Voce of Ph.D.

The university shall constitute a committee to conduct oral (Viva voce/defence) exam for award of the Ph.D.. It will consist of the following individuals

Chairman: Vice chancellor or his/her nominee

External Expert: One of the two examiners selected by the Vice Chancellor who have accepted the thesis for the award of the Ph.D. Degree.

Member: Department Head/Chairman BoS of the concern department

Member: Dean of the School

Member: Two senior faculties (Professor/ Associate Professor) of the School/Department

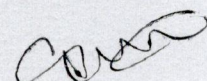
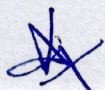
Member: Supervisor/Co supervisor of the candidate.

For conducting the meeting minimum five members shall be required including one external expert and may be conducted online.

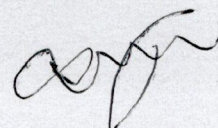

18. Conduction of the final Defence/Viva Voce of the Ph.D.:

The candidate shall orally present the work embodied in the thesis before the board of examiners, members of faculty, research scholars and other interested persons. After the presentation/demonstration of the research work the Board shall ask question with the permission of Chairman those questions which have been given along with examiners reports. Others in the audience can also ask questions and the candidate shall reply only those questions which are permitted by the external examiner.

- (a) The Supervisor / Chairman, DRC, Chairman, Board of Studies of the subject concerned, as the case may be, shall be communicated the name of the external examiner appointed by the Vice Chancellor to conduct the viva-voce examination. The date fixed for the viva-voce be informed to the candidate and the Registrar at least 15 days before the date of the viva-voce. Provided that in special circumstances Vice Chancellor may appoint alternate viva-voce examiners if both the examiners are not in a position to conduct the viva-voce examination.



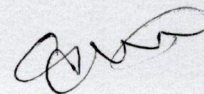
- (b) The open viva-voce examination shall be conducted at the school of the University. The date, time and place of viva-voce examination shall be notified by the Registrar on the University Notice Board about a week in advance. At the time of viva-voce examination, the board of examiners shall be provided with the reports of the examiners which shall be returned along with the report of viva-voce examination to the Registrar.
- (c) In case the recommendation of the viva-voce examiner differs from that of the thesis examiner or there is difference of opinion between the viva-voce examiners, the candidate shall re-appear at a second viva-voce examination within six months. If the candidate fails to satisfy the viva-voce examiners a second time, his/her thesis shall be finally rejected. Such candidate would be required to pay the additional fee for the second viva-voce. The external examiner for second viva-voce shall be appointed by the Vice Chancellor.
- (d) If the examiners recommend that the candidate be asked to revise/improve his/her thesis, the Vice Chancellor shall permit the candidate to resubmit his/her thesis not earlier than six months and not later than one year (here after called as resubmission period), the period being counted from the date of the issue of order to the candidate. The candidate shall be provided examiner (s) reports without disclosing the names of the examiners to enable him/her to improve the thesis. All the copies of the thesis shall also be returned to the candidate. However, the university shall not ask for tuition fees for the "Resubmission Period" by the candidate.
- (e) In case the candidate is allowed to resubmit the thesis he/she will have to pay the prescribed fee (Viva Examination fees) afresh at the time of resubmission, but it shall not be necessary for him/her to reproduce any certificate of further attendance at the Institution at which he/she carried out the work.
- (f) The resubmitted three copies of the thesis must mark clearly, that it is a revised version. The thesis shall be got examined as far as possible by the examiners who finally recommended for the revision.
- (g) In case both the examiner of revised thesis accepts the thesis for the award then the candidate shall be awarded with the Ph.D. degree on successful viva-voce examination as per the ordinance.



- (h) In case a candidate is asked to revise the thesis and one of the examiners recommends again for revision and the other accepts the thesis for award then the thesis shall be sent to the third examiner. If the third examiner rejects or recommends for revision the thesis shall stand rejected. If, the third examiner accepts for the award then the candidate shall be awarded with the Ph.D. Degree on successful viva-voce examination as per the Ordinance.
- (i) In case a candidate who has been asked to revise the thesis and one of the examiners recommends again for revision and the other accepts the thesis for award then the candidate shall be awarded with the Ph.D. Degree on successful viva voce examination as per provision of the Ordinance.
- (j) If the revised thesis is required to be revised a second time it shall automatically stand rejected.

19. Other Important Guidelines:

- (a) No research scholar shall join any other course, study or appear at any other examination conducted by any University leading to a degree/diploma.(b) The candidate, if so desires, is allowed to publish his/her thesis. However, such publication shall state on the title page itself that this was a thesis approved for the award of the Ph.D. Degree of the OP Jindal University, Punjipathra, Raigarh.
- (c) The university agrees to transfer the ownership of copyright of his/her thesis to the candidate.
- (d) After the viva-voce, the recommendation of the examiners shall be reported to the Academic Council for the award of Ph.D. Degree to the candidate. One copy of the thesis will be kept in the University Library and another copy will be kept in the Departmental Library of the Institution where the research work was carried out; the third will be returned to the Supervisor.
- (e) Once the candidate successfully clears the Ph.D. viva-voce, the copies of reports of examiners who recommended for the award of the degree on payment of the prescribed fee shall be provided to the candidate. The reports will not disclose the identity of the examiners.
- (f) On detection of any irregularity/indiscipline, the University shall take suitable steps to withdraw the ongoing degree as per provisions of the ordinance.



- (g) Recognized supervisor who fails to publish any research paper/ publication over a duration of five years shall not be eligible to enroll any new candidate under his/her supervision.
- (h) In case of any dispute on the Ph.D., the Vice Chancellor may constitute a committee including few external members and the report of the committee shall be placed before the Academic Council for final decision. However, the Chairman of the committee would be the Vice Chancellor or his/her nominee.

20. Plagiarism Policy:

In the case of research scholar who have copied, as confirmed by the committee, a research work/dissertation/thesis of Ph.D. degree, his/her thesis will be rejected and his/her Ph.D. registration shall be terminated and also he/she shall be debarred from registering for any other programme in the University.

21. Change of regulations:

The academic council of the university may revise, amend or change the regulations from time to time.

B/abh
14/09/23

K. Bajaj
14/9/23

